# Alaska Judicial Council 2010 Judicial Retention Performance Evaluation Materials Judge Carl Bauman Kenai Superior Court

The Judicial Council finds Judge Bauman to be **Qualified** and recommends unanimously that the public vote **"YES"** to retain him as a superior court judge.

## Retention evaluation materials for this judge

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2.	• .	ge's response to a Judicial Council questionnaire.	3
3.		cores in 2010 w survey scores for all judges on the ballot go to main 2010 retention page.)	
	a.	Attorney; Peace Officer; Social Worker/Guardians Ad Litem/CASA scores	10
	b.	Juror survey scores	17
	c.	Court employee survey scores	18
4.		cores in previous retention evaluations	

# Retention evaluation materials for all judges on the ballot

(To view these materials go to main 2010 retention page.)

#### 1. Peremptory challenges

Analysis of peremptory challenge rates for judges.

#### 2. Recusals

Evaluation of judge's record of self-disqualification from cases, or "recusals."

#### 3. Appellate Affirmance Rates

Analysis of appellate decisions involving each trial judge's cases.

#### 4. Salary Warrant Withholdings

Evaluation of judge's record of pay withholding for undecided or uncompleted decisions.

# Alaska Judicial Council Recommendation Judge Carl Bauman, Superior Court, Kenai

#### **Judicial Council Recommendation 2010**

The Alaska Judicial Council is a non-partisan citizens' commission established by the Alaska constitution. Alaskan law requires the Council to evaluate judges' performance and authorizes the Council to recommend to voters whether judges should be retained in office. The Judicial Council reviews judges' integrity, diligence, legal ability, fairness, demeanor, ability to manage their caseloads, and overall performance of their judicial responsibilities in and out of the courtroom. The Judicial Council finds Judge Bauman to be *Qualified* and recommends unanimously that the public vote "YES" to retain him as a superior court judge.

#### **Judicial Council Evaluation**

The Judicial Council surveyed thousands of Alaskans including peace and probation officers, court employees, attorneys, jurors, social workers/guardians ad litem, and child advocates about the judges on the ballot. Respondents were asked to rate judicial performance and to submit comments. The Council also reviewed the ratings and observations of the Alaska Judicial Observers, independent community-based volunteers. The Council reviewed the judge's peremptory challenge, recusals, and appellate affirmance and reversal rates; any civil or criminal litigation involving the judge; APOC and court system conflict-of-interest statements; any disciplinary files involving the judge; and whether a judge's pay was withheld for an untimely decision. The Council reviewed other court records and investigated judicial conduct in specific cases. The Council interviewed some judges, attorneys, and court staff, and held a statewide public hearing to obtain comments about judges.

	Attorney Survey	Peace Officer Survey	Juror Survey	Court Employee Survey	Social Workers Guardians ad Litem CASAs
Legal Ability	3.9				
Impartiality	3.9	3.1	4.7	4.6	3.8
Integrity	4.0	2.9		4.4	4.0
Temperament	3.9	3.7	4.8	4.7	3.8
Diligence	3.7	3.2		4.3	3.2
Overall	3.8	3.0	4.7	4.4	3.8

Ratings are based on a one to five scale. Five is the best rating and three is "acceptable."

#### Rating Scale

5.0 = Excellent

4.0 = Good

**3.0** = Acceptable **2.0** = Deficient

1.0 = Poor

#### **Summary of Survey Information**

Survey respondents rated Judge Bauman on the categories summarized in the table above, using 5 as the highest rating possible. The attorney rating for Judge Bauman on overall performance was 3.8. Peace and probation officers gave Judge Bauman a rating of 3.0. Jurors rated him 4.7 overall, court employees gave him 4.4, and social workers, guardians ad litem and CASA volunteers rated him at 3.8.

Recommendation: Vote "YES" to retain Judge Carl Bauman

# Alaska Judicial Council Questionnaire

# Trial Judge 2010 Candidates for Judicial Retention

## October 22, 2009

Carl Bauman	Kenai Superior Court Judge							
Name	Court							
Please estimate your workload during your	present term.							
a) 40 % civil cases	b) <u>3</u> # of jury trials/year							
50 % criminal cases	9 # of non-jury trials/year 6 # of administrative appeals/year							
10 % court administrative work 100 % Total	6 # of administrative appeals/year							
estimates. Administrative work includes various non-casand a myriad of other details.	e specific meetings, cle, reading case decisions,							
2. Please describe your participation on court/l during your current term of office.	par committees or other administrative activities							
duties among the three superior court judges in Kenai.	am the designated judicial liaison with the Kenai							
I maintain my membership in the Family Law Section of be fairly characterized as an active participant.	the Alaska Bar Association although I can no longer							
The percentage allocations between civil cases, criminal cases, and administrative work are rough estimates. Administrative work includes various non-case specific meetings, cle, reading case decisions, and a myriad of other details.  2. Please describe your participation on court/bar committees or other administrative activities during your current term of office.  The presiding superior court judge of the third judicial district allocated local Kenai Peninsula administrative duties among the three superior court judges in Kenai. I am the designated judicial liaison with the Kenai Clerk of Court and the Clerk's Office, principally for internal administrative matters.  I maintain my membership in the Family Law Section of the Alaska Bar Association although I can no longer								



3. Please assess, in one or two paragraphs, your judicial performance during your present term. Appropriate areas of comment could include: satisfaction with your judicial role, specific contributions to the judiciary or the field of law, increases in legal knowledge and judicial skills, or other measures of judicial abilities that you believe to be important.

My private law practice experience with criminal law matters, especially felonies, was quite limited. As a new judge I was challenged to learn the "language" of criminal law matters, let alone the statutory definitional distinctions and the many Court of Appeals, Alaska Supreme Court, and US Supreme Court decisions that bear on decisions in criminal law cases. I had very little experience with juvenile delinquency proceedings or with child in need of aid (CINA) cases. For a number of months I was essentially back in law school with a steep learning curve on criminal and CINA matters.

I very much enjoy being a superior court judge. Each day brings new matters and new challenges to correctly perceive and understand issues, arguments, nuances, and special situations. Making credibility decisions with regard to facts attested to by witnesses and parties is often required, including those who testify telephonically. I try to be patient, hear from both sides, and respect the parties and counsel.

One of the challenges has been developing an appreciation of the problems associated with alcohol and substance abuse -- the crimes, the effect on victims, the problems caused to spouses and families, and what is available, affordable, and effective as far as assessment and treatment. Developing insights and understanding of domestic violence, both as a crime and as a factor in custody disputes, has been another significant challenge.

I try to understand and appreciate the every day problems that people face on the Kenai Peninsula, including problems associated with cabin and tent living, seasonal work at fish processing plants, and the demands of commercial fishing. Those every day problems include the problems of the unemployed, the barely employed, the abused, the neglected, the indigents, those who live in Villages, those without running water, and those with cultural and language differences. Many people cannot afford a lawyer, and the courtroom can be intimidating. Every day problems include people and businesses who experience theft, vandalism, and injuries and death from criminal conduct. Every day problems also include the dangerous situations that police officers, probation officers, judicial service officers, and VSOs have to confront.

I cannot point to any specific contribution that I have made to the judiciary. One of my rulings in a criminal case is on appeal with regard to the need for a second Miranda warning. Rulings in civil cases are on appeal with regard to an air park subdivision, a challenge to the Kenai Borough sales tax, and a challenge to the Kenai Borough capital spending authority versus a limitation by initiative.



elections.

4.	During	your most recent term as a judge, have you:
	a)	had a tax lien filed or other collection procedure instituted against you by federal, state, or local authorities? ☐ Yes  ✓ No
	b)	been involved in a non-judicial capacity in any legal proceeding whether as a party or otherwise?   ✓ Yes □ No
	c)	engaged in the practice of law (other than as a judge)?   ✓ Yes □ No
	d)	held office in any political party? □ Yes   No
	e)	held any other local state or federal office? □ Yes 🎜 No
	f)	had any complaints, charges or grievances filed against you with the Alaska Commission on Judicial Conduct, the Alaska Bar Association, or with the Alaska Court System that resulted in public proceedings or sanctions? ☐ Yes  ✓ No
5.	•	answer to any of the questions above is "yes," please give full details, including dates,
entry c v. Bau term a proceed	owing a of a decr man, 3A limony. edings. epresented other	separation in April 2007 I represented myself in a divorce proceeding from June 2007 through ee of divorce in July 2008 and through the initial post-divorce motions. The case was Bauman N-07-07866 CI. The outcome was a divorce, with a mediated settlement that includes long After the first round of post-divorce motions, I retained counsel for further post-divorce ed myself with regard to sale of the former family home in Anchorage (no litigation was than the then pending divorce), and acquisition and sale of a residential residence in Wasilla ation was required for either the purchase or the sale).
6.	Please	provide any other information which you believe would assist the Council in

Through eclectic acquaintances across a broad spectrum of society, I have gained a few insights into what is likely to work or not work as far as common sense, practical solutions within the available legal framework. As an Alaska resident since 1973 I have personally experienced the effect of the larger economic forces on Alaskans. Through having been counsel in several extraordinarily large civil cases, I had the opportunity to study Territorial times in Alaska, the Constitutional Convention, and the development of natural resource, public land, mining, Native rights, royalty, production tax, corporate income tax, and environmental law since Statehood. I participated in the taking of hundreds of depositions of then present and former State of Alaska commissioners, directors, and key staff on tax, land, royalty, and tax issues. These experiences give me an appreciation of what the law is, and the forces and intentions that underlie how particular laws came to be.

conducting its evaluations and in preparing its recommendations for the 2010 retention



7. Please list your three most recent jury trials including case names and numbers. Please list the names, current addresses, including zip codes and suite numbers where applicable, and phone numbers of each attorney involved in these trials. (Attach additional pages if necessary.)

### **Case Number 1**

Case Name: (	Carl Waggoner & D'Ann Waggoner	Case Number:	3KN-08-527 CI
ν. [	Gary Parker and Cathy Parker	<del>-</del>	
	Attorneys	Involved:	
Name	Mark Osterman	Name:	Arthur Robinson
Address	105 Trading Bay Rd., # 105	_ Address:	35401 Kenai Spur Hwy
City, State, Zip:	Kenai, Alaska 99611 283-5660	City, State, Zip:	Soldotna, Alaska 99669 262-9164
Name:		Name:	
Address			
City, State, Zip:			
	Case N	umber 2	
Case Name:	State of Alaska	_Case Number:	3KN-08-56 CR
v. <u> </u>	Eric McDonald	-	
	Attorneys	Involved:	
Name:	Scot Leaders, Ass't District Att'y	Name:	Pro Per Eric McDonald
Address	120 Trading Bay Rd., # 200	Address:	% Wildwood Pretrial, 10 Chugach Ave
City, State, Zip:	Kenai, Alaska 99611 283-3131	City, State, Zip:	Kenai, AK 99611 260-7200
Name:		Name:	
Address:		Address:	
City, State, Zip:			
	Case N	umber 3	
Case Name: S	State of Alaska	Case Number:	3KN-08-1 CR
ν	William Foondle	-	
	Attorneys	Involved:	
Name:	Kelly Lawson, Ass't District Att'y	Name:	Angela O'Brien
Address:	120 Trading Bay Rd., # 200	<del></del>	130 Trading Bay Rd., # 390
City, State, Zip:	Kenai, Alaska 99611 283-3131	City, State, Zip:	Kenai, Alaska 99611 283-3129
Name:		Name:	
Address			
City, State, Zip:		City, State, Zip:	



8. Please list your three most recent non-jury trials including case names and numbers. Please list the names, current addresses, including zip codes and suite numbers where applicable, and phone numbers of each attorney involved in your three most recent non-jury trials. (Attach additional pages if necessary.)

### **Case Number 1**

Case Name: F	Anne L. Forgue		Case Number:	3KN-08-357 CI	
	Scott A. Forgue		-		
_		Attorneys	Involved:		
Name:	Michelle Minor		Name:	Carol Brenkle	
Address:	1120 E. Huffman Rd., #	664	Address:	130 Trading Bay R	d., #340
City, State, Zip:	Anchorage, AK 99515	274-6980	City, State, Zip:	Kenai, AK 99611	283-4343
Name:			Name:		
Address:			Address:		
City, State, Zip:					
		Case N	umber 2		
Case Name: F	Angalena M. Richardson		Case Number:	3KN-07-256 CI	
v. <u> </u>	Dale H. Richardson		-		
		Attorneys	Involved:		
Name;	Kevin Koch		Name:	Linn Plous	
Address:	35477 Spur Hwy, # 207		Address:	100 Trading Bay Ro	d., # 4
City, State, Zip:	Soldotna, AK 99669	262-4604	City, State, Zip:	Kenai, Ak 99611	283-7272
Name:			Name:		
Address:					
City, State, Zip:					
		Case No			
Case Name: A	Ashely Udelhoven		Case Number:	3KN-08-393 CI	
	Gayle Udelhoven		•		
*****		Attorneys	Involved:		
Name:	Thomas Gingras	•		Phil Nash	
Address:	425 G St. #930		- Address:	10 S. Willow St. #1	04
City, State, Zip:	Anchorage, AK 99501	279-0930	•		283-7514
Name:			Name:		
Address:			Address:		
City, State, Zip:			City, State, Zip:		



9. Please list your three most recent cases, including case names and numbers, which did not go to trial, but on which you did significant work (such as settlement conference, hearings, motion work, etc.). Please list the names, current addresses, including zip codes and suite numbers where applicable, and phone numbers of each attorney involved these cases. (Attach additional pages if necessary.)

#### Case Number 1

Case Name: State of Alaska Case Nu	mber: 3KN-08-568 CR
v. Richard Miller	
Attorneys Involve	ed:
Name: Scot Leaders, Ass't District Att'y	Name: Mark Osterman
Address: 120 Trading Bay Rd. #200	Address: 105 Trading Bay Rd., # 105
City, State, Zip: Kenai, AK 99611 283-3131 City, Sta	ate, Zip: Kenai, Alaska 99611 283-5660
Name:	Name: Joshua Chartier
Address:	Address: 1016 W. 6th Ave., #100
City, State, Zip: City, Sta	ate, Zip: Anchorage, AK 99501 269-6075
Case Number	2
Case Name: State of Alaska Case Nu	mber: 3KN-08-671 CR
v. John Amend	moer.
Attorneys Involve	ed:
Name: Scot Leaders, Ass't District Att'y	Name: Mark Osterman
Address: 120 Trading Bay Rd. #200	Address: 105 Trading Bay Rd., # 105
City, State, Zip: Kenai, AK 99611 283-3131 City, Sta	ate, Zip: Kenai, AK 99611 283-5660
Name:	Name:
Address:	Address:
	ate, Zip:
Case Number	
Case	
Name: ITMO: CB, CB, CB, CB Case Nu.	mber: 3KN-09-54, 55, 56, & 57 CP
Attorneys Involve	d:
Name: Michelle Higuchi, AAG	Name: Charles Winegarden
Address: 120 Trading Bay Rd., #200	Address: 222 Main Street Loop # 2
	tte, Zip: Kenai, AK 99611 283-5774
Name: Mary Anderson	Name:
	ddress:
	ite, Zip:

Address: 105 Trading Bay Rd. #105

City, State, Zip:

283-5660

City, State, Zip: Kenai, AK 99611



Address: 120 Trading Bay Rd. #200

283-3131

City, State, Zip: Kenai, AK 99611

City, State, Zip:

Alaska Judicial Council Trial Judge Questionnaire Retention

10. Optional: If you deem it helpful to the Council, please list up to three other cases during your past term in which you believe your work was particularly noteworthy. Please list the names, current addresses, including zip codes and suite numbers where applicable, and phone numbers of each attorney involved in these cases. (Attach additional pages if necessary.)

#### Case Number 1 Case Name: Alliance of Concerned Taxpayers, Inc. Case Number: 3KN-06-1129 CI v. Kenai Peninsula Borough Attorneys Involved: Name: Colette Thompson Name: Kenneth Jacobus Address: 310 K St., # 200 Address: 144 N. Binkley St. City, State, Zip: Soldotna, AK 99669 714-2120 City, State, Zip: Anchorage, AK 99501 277-3333 Name: Address: Address: City, State, Zip: City, State, Zip: Case Number 2 Case Number: 3KN-09-178 CI Case Name: Kenneth Manning, et al. v. State of Alaska, et al Attorneys Involved: Name: Kevin Saxby, AAG Name: Kenneth Manning, Pro per Address: 1031 W. 4th Ave., # 200 Address: P.O. Box 775 City, State, Zip: Anchorage, AK 99501 269-5100 City, State, Zip: Kasilof, AK 99610 Name: Michael Kramer Name: John Starkey Address: 88 Mill Hill Rd. Address: 100 Cushman St., # 311 City, State, Zip: Barnet, VT 05821 715-557-0357 City, State, Zip: Fairbanks, AK 99701 452-1666 Case Number 3 Case Number: 3KN-06-377 CR Case Name: State of Alaska v. Bert Nelson Attorneys Involved: Name: Mark Osterman Name: Lance Joanis, District Attorney

# Third Judicial District Carl Bauman - Kenai Superior Court

#### **Summary Sheet and Detailed Survey Scores**

#### Summary of survey information

Judge Bauman's detailed survey scores follow. Attorneys rated him 3.8 on overall performance. Peace and probation officers rated him 3.0 overall, and social workers, Guardians ad Litem and CASA volunteers rated him 3.8 overall.

	Attorney Survey N=85	Peace Officer Survey N=12	Social Workers Guardians ad Litem CASAs N=5
Legal Ability	3.9		
Impartiality	3.9	3.1	3.8
Integrity	4.0	2.9	4.0
Temperament	3.9	3.7	3.8
Diligence	3.7	3.2	3.2
Overall	3.8	3.0	3.8

#### The Judicial Council's Evaluation Process

State law requires the Judicial Council to evaluate each judge standing for retention, and to report its evaluations to the voters. The three surveys reported here are an important part of the Council's evaluations. The Council also considers survey ratings by jurors and court employees, public comments, and the ratings by the independent citizen volunteers at Alaska Judicial Observers. Along with the personal observations of the hundreds of people who had direct professional experience with the judge, the Council reviews any litigation involving the judge, conflict of interest records, public disciplinary files, and indicators of judicial performance such as appellate affirmances and reversals, and peremptory challenges and recusals. All of the evaluation information about the judge is on the Council's website at www.ajc.state.ak.us.

# **Superior Court Judge Carl Bauman**

#### A. Alaska Bar Association

# **Demographic Description**

		N	%
Type of Practice			
	No Response	1	.9%
	Private, Solo	26	23.9%
	Private, 2-5 Attorneys	19	17.4%
	Private, 6+ Attorneys	18	16.5%
	Private, Corporate Employee	2	1.8%
	Judge or Judicial Officer	24	22.0%
	Government	18	16.5%
	Public Service Agency or Organization		0.0%
	(Not Govt)		
	Other	1	.9%
<b>Length of Alaska Practice</b>			
	No Response	2	1.8%
	5 Years or fewer	4	3.7%
	6 to 10 years	4	3.7%
	11 to 15 years	8	7.3%
	16 to 20 years	10	9.2%
	21 years or more	81	74.3%
Gender	·		
	No Response	3	2.8%
	Male	77	70.6%
	Female	29	26.6%
Cases Handled			
	No Response	3	2.8%
	Prosecution	5	4.6%
	Mainly Criminal	6	5.5%
	Mixed Criminal & Civil	24	22.0%
	Mainly Civil	67	61.5%
	Other	4	3.7%
<b>Location of Practice</b>			
	No Response	2	1.8%
	First District	2	1.8%
	Second District	1	.9%
	Third District	102	93.6%
	Fourth District	2	1.8%
	Outside of Alaska		0.0%

Judge Carl Bauman: Detailed Information Responses Alaska Bar Association Members

	Lega		Impartiality/				Judic				Overall	
	<b>Abili</b> Mean	ity N	Fairn Mean	ess N	Integra Mean	rity N	Tempera Mean	ament N	<b>Dilige</b> Mean	ence N	Evalua Mean	ation N
Basis for Evaluation	Wican	- 11	Wican	- 11	Wican	11	Wican	11	Ivican	- 11	TVICAII	
No Response		1		0		1		1		2		1
Direct Professional	3.9	85	3.9	86	4.0	85	3.9	85	6.7	84	3.8	85
Professional Reputation	3.7	18	3.7	18	3.9	18	3.8	17	4.0	17	3.7	18
Other Personal Contacts	4.3	4	4.0	4	4.3	4	4.3	4	4.0	3	4.3	4
Type of Practice	1.5	•		•	15	•	1.5	•		5	1.5	
No Response		0		0		1		1		0		1
Private, Solo	4.1	20	4.1	20	4.4	20	4.2	20	4.1	20	4.1	20
Private, 2-5 Attorneys	3.5	17	3.7	17	3.7	17	3.6	16	3.1	17	3.6	17
Private, 6+ Attorneys	4.2	13	4.1	13	4.1	12	4.2	13	3.9	13	4.0	13
Private, Corporate Employee	5.0	1	5.0	1	5.0	1	5.0	1	5.0	1	5.0	1
Judge or Judicial Officer	4.0	21	4.1	21	4.1	21	4.0	21	3.8	20	4.0	20
Government	3.3	13	3.4	13	3.5	13	3.4	13	3.4	12	3.2	13
Public Service Agency or Organization (Not Govt)		0		0		0		0		0		0
Other		0	2.0	1	2.0	1	2.0	1	3.0	1	2.0	1
Years of Practice in Alaska		U	2.0	1	2.0	1	2.0	1	3.0	1	2.0	1
No Response		1		0		1		0		0		1
5 Years or fewer	4.7	3	3.7	3	4.7	3	3.7	3	4.3	3	4.3	3
6 to 10 years	4.0	3	4.0	3	3.7	3	4.3	3	3.0	3	3.7	3
11 to 15 years	3.6	7	3.6	7	3.6	7	3.4	7	3.3	6	3.4	7
16 to 20 years	3.9	10	3.7	10	3.8	10	3.9	9	3.5	10	3.6	10
21 years or more	3.8	61	4.0	62	4.1	61	3.9	62	3.7	62	3.9	61
Gender Gender	3.0	01	4.0	02	7.1	01	3.7	02	3.7	02	3.7	01
No Response		0		0		1		1		0		0
Male	3.9	65	4.0	65	4.1	64	4.0	64	3.8	65	4.0	65
Female	3.6	19	3.6	20	3.5	20	3.6	20	3.2	19	3.3	19
Cases Handled	3.0	1)	3.0	20	3.3	20	3.0	20	3.2	17	3.3	1)
No Response		1		0		1		1		0		1
Prosecution	2.5	2	3.0	2	2.5	2	3.0	2	3.0	2	3.0	2
Mainly Criminal	4.3	4	5.0	4	4.8	4	4.8	4	4.8	4	4.8	4
Mixed Criminal & Civil	3.9	21	4.1	21	4.1	21	4.2	21	4.0	20	4.0	21
Mainly Civil	3.9	52	3.8	53	3.9	52	3.8	52	3.5	53	3.7	52
Other	3.8	4	3.5	4	3.8	4	2.8	4	3.3	4	3.5	4
<b>Location of Practice</b>	3.0	•	3.5	•	3.0		2.0	•	3.3	•	5.5	
No Response		1		0		1		1		1		1
First District	3.5	2	3.5	2	3.5	2	3.5	2	3.5	2	3.5	2
Second District	5.0	1	5.0	1	5.0	1	5.0	1	2.0	1	4.0	1
Third District	3.8	80	3.9	81	4.0	80	3.9	80	3.7	80	3.8	80
Fourth District	5.0	1	5.0	1	5.0	1	5.0	1	5.0	1	5.0	1
Outside of Alaska		0		0		0		0		0		0

Note: Ratings for only those respondents who reported direct professional experience with the judge.

# **Superior Court Judge Carl Bauman**

# **B.** Peace and Probation Officers

# **Demographic Description**

		N	%
Type of Work			
	No Response		0.0%
	State Law Enforcement Officer	5	41.7%
	Municipal/Borough Law Enforcement Officer	1	8.3%
	Village Public Safety Officer (VPSO)		0.0%
	Probation/Parole Officer	4	33.3%
	Other	2	16.7%
Length of Alaska Experience	e		
	No Response		0.0%
	5 Years or fewer	4	33.3%
	6 to 10 years	2	16.7%
	11 to 15 years	3	25.0%
	16 to 20 years	1	8.3%
	21 years or more	2	16.7%
Gender			
	No Response		0.0%
	Male	11	91.7%
	Female	1	8.3%
<b>Location of Work</b>			
	No Response		0.0%
	First District		0.0%
	Second District		0.0%
	Third District	11	91.7%
	Fourth District	1	8.3%
	Outside of Alaska		0.0%
<b>Community Population</b>			
	No Response		0.0%
	Under 2,000		0.0%
	Between 2,000 and 35,000	11	91.7%
	Over 35,000	1	8.3%

Judge Carl Bauman Peace and Probation Officers

	Impartiality/ Fairness		Intox	<b></b> :4		Judicial Temperament			Overall Evaluation	
	Mean	ess N	Integ Mean	rity N	Mean	ment N	<b>Diligo</b> Mean	N	Mean	N
Basis for Evaluation								· · · · · ·		
No Response		0		0		0		0		0
Direct Professional	3.1	12	2.9	12	3.7	12	3.2	12	3.0	12
Professional Reputation		0		0		0		0		0
Other Personal Contacts		0		0		0		0		0
Type of Work										
No Response		0		0		0		0		0
State Law Enforcement Officer	3.0	5	3.0	5	3.4	5	2.8	5	2.8	5
Municipal/Borough Law Enforcement Officer	2.0	1	3.0	1	3.0	1	3.0	1	3.0	1
Village Public Safety Officer (VPSO)		0		0		0		0		0
Probation/Parole Officer	3.0	4	2.5	4	3.8	4	3.8	4	2.8	4
Other	4.0	2	3.5	2	4.5	2	3.0	2	4.0	2
<b>Length of Experience</b>										
No Response		0		0		0		0		0
5 Years or fewer	3.5	4	3.3	4	4.5	4	3.8	4	3.3	4
6 to 10 years	4.0	2	3.5	2	4.0	2	3.5	2	4.0	2
11 to 15 years	2.3	3	2.0	3	3.0	3	2.3	3	2.0	3
16 to 20 years	3.0	1	3.0	1	3.0	1	3.0	1	3.0	1
21 years or more	2.5	2	3.0	2	3.0	2	3.0	2	3.0	2
Gender										
No Response		0		0		0		0		0
Male	3.1	11	2.9	11	3.7	11	3.2	11	3.0	11
Female	3.0	1	3.0	1	3.0	1	3.0	1	3.0	1
<b>Location of Work</b>										
No Response		0		0		0		0		0
First District		0		0		0		0		0
Second District		0		0		0		0		0
Third District	3.1	11	2.9	11	3.6	11	3.2	11	3.0	11
Fourth District	3.0	1	3.0	1	4.0	1	3.0	1	3.0	1
Outside of Alaska		0		0		0		0		0
<b>Community Population</b>										
No Response		0		0		0		0		0
Under 2,000		0		0		0		0		0
Between 2,000 and 35,000	3.1	11	2.9	11	3.7	11	3.2	11	3.0	11
Over 35,000	3.0	1	3.0	1	3.0	1	3.0	1	3.0	1

Note: Ratings for only those respondents who reported direct professional experience with the judge.

# **Superior Court Judge Carl Bauman**

## C. Social Workers, Guardians ad Litem, and CASA Volunteers

# **Demographic Description**

		N	%
Type of Work			
	No Response		0.0%
	Social Worker	4	66.7%
	Guardian ad Litem	2	33.3%
	CASA Volunteer		0.0%
	Other		0.0%
Length of Alaska Experie	ence		
	No Response		0.0%
	5 Years or fewer		0.0%
	6 to 10 years	2	33.3%
	11 to 15 years	1	16.7%
	16 to 20 years	1	16.7%
	21 years or more	2	33.3%
Gender	·		
	No Response		0.0%
	Male	1	16.7%
	Female	5	83.3%
<b>Location of Work</b>			
	No Response		0.0%
	First District		0.0%
	Second District		0.0%
	Third District	6	100%
	Fourth District		0.0%
	Outside of Alaska		0.0%
<b>Community Population</b>			
• •	No Response	1	16.7%
	Under 2,000		0.0%
	Between 2,000 and 35,000	3	50.0%
	Over 35,000	2	33.3%

Judge Carl Bauman Social Workers, Guardians ad Litem, and CASA Volunteers

	Impartia			Judici	al			Overall		
	Fairness		Integrity		Tempera		Diliger		Evaluation	
	Mean	N	Mean	N	Mean	N	Mean	N	Mean	N
<b>Basis for Evaluation</b>										
No Response		0		0		0		0		0
<b>Direct Professional</b>	3.8	5	4.0	5	3.8	5	3.2	5	3.8	5
Professional Reputation	2.0	1	5.0	1	4.0	1	2.0	1	2.0	1
Other Personal Contacts		0		0		0		0		0
Type of Work										
No Response		0		0		0		0		0
Social Worker	4.0	4	4.3	4	4.0	4	3.5	4	4.0	4
Guardian ad Litem	3.0	1	3.0	1	3.0	1	2.0	1	3.0	1
CASA Volunteer		0		0		0		0		0
Length of Experience										
No Response		0		0		0		0		0
5 Years or fewer		0		0		0		0		0
6 to 10 years	4.5	2	4.5	2	4.5	2	4.5	2	4.5	2
11 to 15 years	3.0	1	3.0	1	3.0	1	2.0	1	3.0	1
16 to 20 years	4.0	1	4.0	1	4.0	1	3.0	1	4.0	1
21 years or more	3.0	1	4.0	1	3.0	1	2.0	1	3.0	1
Gender										
No Response		0		0		0		0		0
Male	4.0	1	4.0	1	4.0	1	3.0	1	4.0	1
Female	3.8	4	4.0	4	3.8	4	3.3	4	3.8	4
<b>Location of Work</b>										
No Response		0		0		0		0		0
First District		0		0		0		0		0
Second District		0		0		0		0		0
Third District	3.8	5	4.0	5	3.8	5	3.2	5	3.8	5
Fourth District		0		0		0		0		0
Outside of Alaska		0		0		0		0		0
<b>Community Population</b>										
No Response		0		0		0		0		0
Under 2,000		0		0		0		0		0
Between 2,000 and 35,000	4.0	3	4.0	3	4.0	3	3.7	3	4.0	3
Over 35,000	4.0	1	4.0	1	4.0	1	3.0	1	4.0	1

Note: Ratings for only those respondents who reported direct professional experience with the judge.

# Juror Survey Results 2010 Retention Evaluation Carl Bauman

		Distribution of Ratings											
Survey Category	Mean	Excellent % (n)		Good % (n)		Acceptable % (n)		Deficient % (n)		Poor % (n)		Total Responses	
Impartiality/Fairness	4.7	71%	39	29%	16	0%	0	0%	0	0%	0	55	
Respectful/Courteous	4.8	78%	43	22%	12	0%	0	0%	0	0%	0	55	
Attentive during Proceedings	4.7	75%	41	24%	13	2%	1	0%	0	0%	0	55	
Control over Proceedings	4.7	73%	40	26%	14	2%	1	0%	0	0%	0	55	
Intelligence/Skill as a Judge	4.7	71%	39	27%	15	2%	1	0%	0	0%	0	55	
Overall Evaluation	4.7	69%	38	31%	17	0%	0	0%	0	0%	0	55	

# Court Employee Survey Results 2010 Retention Evaluation Carl Bauman

Question	Exce %	llent (n)	Good % (n)		Acceptable % (n)		Deficient % (n)		Poor % (n)		Mean	Total Responses
Impartiality/Fairness	77%	10	15%	2	0%	0	8%	1	0%	0	4.6	13
Integrity	60%	9	27%	4	7%	1	7%	1	0%	0	4.4	15
Judicial Temperament	80%	12	13%	2	0%	0	7%	1	0%	0	4.7	15
Diligence	56%	9	25%	4	6%	1	13%	2	0%	0	4.3	16
Overall Evaluation	63%	10	25%	4	6%	1	6%	1	0%	0	4.4	16